



## North Carolina Department of Health and Human Services

Pat McCrory  
Governor

Aldona Z. Wos, M.D.  
Ambassador (Ret.)  
Secretary DHHS

### **Department of Mental Health, Developmental Disabilities and Substance Abuse Services**

3001 Mail Services Center  
Raleigh, North Carolina 27699-3001  
Tel 919-733-7011 Fax 919-508-0951  
Courtney M. Cantrell, PhD.  
Director

### **Division of Medical Assistance**

2501 Mail Services Center  
Raleigh, North Carolina 27699-2501  
Tel. 919-855-4100 Fax 919-733-6608  
Robin Gary Cummings, M.D.  
Deputy Secretary for Health Services  
Director, Division of Medical Assistance

### **Future of Innovations Waiver and IDD System Design**

**December 5, 2014, 1:30 PM – 4:00 PM**

**Location: Brown Building – Dorothea Dix Campus: Conference Room**  
**Meeting Minutes**

#### **Individual Support Planning Process:**

- Person Centered process lead by the individual/guardian
- Collaborative process with the individual, guardian and others as determined by the individual and/or guardian
- It generates actions- positive steps that the individual can take towards realizing a better, more complete life

#### **Key Values and Principles Serving as the Foundation of Person- Centered Planning:**

- Builds on the individuals/family's strengths, gifts, skills, and contributions
- Supports personal empowerment, and provides meaningful options for individuals/families to express preferences and make informed choices in order to identify and achieve their hopes, goals and aspirations.
- Supports a fair and equitable distribution of system resources
- Create community connections
- The umbrella under which all planning for treatment, services and support occurs
- Start with where the person is and their reasons for desiring services

#### **Role of the Care Coordinator in ISP Development:**

- Provide information about the ISP process
- Provide education about Innovations waiver services/supports
- Completes and/or assist with arranging assessments
- Coordinate the ISP meeting

www.ncdhhs.gov • www.ncdhhs.gov/mhddsas/  
Tel 919-733-7011 • Fax 919-508-0951

Location: 325 N. Salisbury St. • Albemarle Building • Raleigh, NC 27603  
Mailing Address: 3001 Mail Service Center • Raleigh, NC 27699-3001  
An Equal Opportunity / Affirmative Action Employer



- Monitor individual/family and provider when ISP approved

### **Assessments provide valuable Information:**

- Understanding the person's strengths and abilities, hopes and dreams, challenges and needs
- Information will continue to be gathered and added as more learning takes place
- Three Components:
  - Gathering person-center information
  - Risk/Support Needs Assessment
  - Gathering information to support level of care
- Assessment is a dynamic, ongoing process based on the needs of the individual
- Include evaluating the impact of services/support

### **NC Innovations Risk/Support Needs Assessment**

- Identify his/her health and safety risk factors

### **SIS Policy for ISP Planning:**

- Establishes the framework for incorporating SIS into the person centered planning process
- Establishes various Administrative processes related to the SIS in the NC Innovations Waiver that ensures consistency throughout the state
- The SIS Policy for ISP Planning includes four sections:
  - An overview of the SIS
  - The SIS Assessment in NC Innovations
  - Using the SIS for Planning

### **Supplemental Questions (SQ)**

- When the SIS is administered, certain responses in the Exceptional Medical and Behavioral Supports Sections may also require that a series of Supplemental Questions be asked
- Such responses; however, need to be verified independently
- Severe Medical Risk
- Severe Community Safety Risk- Convicted
- Severe Community Safety Risk- Not Convicted
- Severe Risk of Injury to Self
- There are 3 steps to the verification process:
  - Conduct a verification review for each person with supplemental questions indicating extraordinary support needs
  - SQ Verification Committee will consider supporting documentation for exceptional needs
  - SQ Committee makes a final decision as to whether individuals has exceptional support needs based on documentation

### **The SIS in NC Innovation Waiver**

- SIS Assessments are completed by certified AAIDD Interviewer
  - Before individuals are approved for services through the NC Innovations Waiver
  - Major life changes for person resulting in different support needs
  - Disagreement with SIS Results
  - Re-Evaluation
    - Every 2 years for children (5-15 years)
    - Every 3 years for adults (16 years and older)